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| UTILITY PATENT APPLICATION TRANSMITTAL   | Attorney Docket No.                                     | 001221  | Total Pages | <u> </u>      |  |
| (Only for new nonprovisional applications  | First Named Inventor or A                               | First Named Inventor or Application Identifier  |             | Ď             |  |
| under 37 CFR 1.53(b))  | Yasuhiko NOMURA, Nobuhiko HAYASHI and<br>Masayuki SHONO |   |             |               |  |
| Check Box, if applicable [ ] Duplicate   | Express Mail Label No                                   | Express Mail Label No.  |             |               |  |
| APPLICATION ELEMENTS FOR: SEMICONDUCTOR LIGHT EMITTING DEVICE  | В   | ADDRESS TO: Director of Patents and Trademarks BOX PATENT APPLICATIONS Washington, D.C. 20231 |             |               |  |
| [XX] Fee Transmittal Form (Incorporated within this (Submit an original and a duplicate for fee product).  |   |   |             |               |  |
| 2. [XX] Specification Total Pag  | ges [36]  |   |             |               |  |
| 3. [XX] Drawing(s) (35 USC 113) Total Sheets [   | [13]  |   |             | -             |  |
| 4 [XX] Oath or Declaration Total Pages   a. [XX] Newly executed (original) b. [ ] Copy from prior application (37 CFR 1.63(d)  |   |   |             |               |  |
| i. [] Deletion of Inventor(s) Signed statement attached deleting inventor(s) name see 37 CFR 1.63(d)(2) and 1.33(b).  Incorporation by reference (useable if box 4b is c | hecked)   |   |             |               |  |
| The entire disclosure of the prior application, from Box 4b, is considered as being part of the disclosincorporated by reference therein.                                |   |   |             |               |  |
| 6. [ ] Microfiche Computer Program (Appendix)  |   |   |             |               |  |
| 7. [ ] Nucleotide and/or Amino Acid Sequence Submiss   | sion (if applicable, all n                              | ecessary)   |             |               |  |
| a. [ ] Computer Readable Copy b. [ ] Paper Copy (identical to computer copy) c. [ ] Statement Verifying identity of above copies   |   |   |             |               |  |
| ACCOMPANYING APPLICATION PARTS   |   |   |             |               |  |
| 8. [XX] Assignment Papers (cover sheet and document  | (s))  |   |             |               |  |

## UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. 001221

First Named Inventor or Application Identifier

Yasuhiko NOMURA, Nobuhiko HAYASHI and Masayuki SHONO

PAGE 2 OF 3

| 10. [ ] English translation Document (if applicable)   |  |  |           |              |  |  |
|--|--|--|-----------|--------------|--|--|
| 11. [XX] Information Disclosure Statement [XX] Copies of IDS Citations (PTO-1449 & 4 Ref.)   |  |  |           |              |  |  |
| 12. [ ] Preliminary Amendment  |  |  |           |              |  |  |
| 13. [XX] Return Receipt Postcard (MPEP 503)  | )  |  |           |              |  |  |
| 14. [ ] Small Entity Statement(s)  | [ ] Statement filed i<br>Status still pr | n prior application coper and desired. |           | 1            |  |  |
| [1] Certified copy of Priority Document  |  |  |           |              |  |  |
| a. Priority of application no filed on is claimed under 35 USC 119.  The certified copies/copy have/has been filed in prior application Serial No  (For Continuing Applications, if applicable). |  |  |           |              |  |  |
| 19. [] Other   |  |  |           |              |  |  |
| F7. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:   |  |  |           |              |  |  |
| Continuation [] Division [] Con  | tinuation-in-part (CIP)                  | of prior application no.               | /         |              |  |  |
| EEE TO ANGMITTAL   | Number Filed                             | Number Extra                           | Rate      | Basic        |  |  |
| FEE TRANSMITTAL  The filing fee is calculated below  | Number Flied                             | Number Extra                           | Rate      | Fee \$690.00 |  |  |
| Total Claims   | 19 - 20                                  |  | x \$18.00 |              |  |  |
| Independent Claims   | 2 - 3                                    |  | x \$78.00 |              |  |  |
| Multiple Dependent Claims  |  |  | \$260.00  |              |  |  |
| Basic Filing Fee   |  |  |           | \$ 690.00    |  |  |
| Reduction by 1/2 for small entity  |  |  |           |              |  |  |
| Fee for recording enclosed Assignment \$40.00  |  |  | \$40.00   | \$ 40.00     |  |  |
| TOTAL  |  |  |           | \$ 730.00    |  |  |

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001221

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| PAGE 3 OF 3   |  |  |  |  |
|---|--|--|--|--|
| [XX] A check in the amount of \$\frac{730.00}{1000} is enclosed to cover the filing fee of \$\frac{\$690.00}{1000} and the assignment recordation fee of \$\frac{\$40.00}{1000}.  |  |  |  |  |
| [ ] Please charge our Deposit Account No. 01-2340 in the total amount of to cover the filing fee and the assignment recordation fee. A duplicate of this sheet is attached.   |  |  |  |  |
| [XX] The Commissioner is hereby authorized to charge payment for any additional filing fees required under 37 CFR 1.16 or credit any overpayment to Deposit Account No. 01-2340. A duplicate of this sheet is attached. |  |  |  |  |
| 18. CORRESPONDENCE ADDRESS  |  |  |  |  |
| ARMSTRONG, WESTERMAN, HATTORI  McLELAND & NAUGHTON  1725 K Street, N.W. Suite 1000  Washington, D.C. 20006  Telephone: (202) 659-2930   |  |  |  |  |
| McLELAND & NAUGHTON   |  |  |  |  |
| 1725 K Street, N.W. Suite 1000  |  |  |  |  |
| Washington, D.C. 20006  |  |  |  |  |
| Telephone: (202) 659-2930   |  |  |  |  |
| Facsimile: (202) 887-0357   |  |  |  |  |
| I ±<br>SUBMITTED BY   |  |  |  |  |
| Typed or Printed Name Donald W. Hanson Reg. No. 27,133  |  |  |  |  |
| Signature Date: September 20, 2000  |  |  |  |  |
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